



FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

JURUSTIC PERSONS

VERSION 1.0

ENTITY SELF-CERTIFICATION FORM

(To be completed by juristic persons who indicated that they are a tax resident of another country other than South Africa, their country of birth or nationality is not South African, or their beneficiaries or shareholders are registered for tax in another country, on the Administrators application form)

IMPORTANT INFORMATION

This document must be sent together with the product application form to the Administrator at newbus@itransact.co.za

Nature of Entity

Please provide us with the nature of your business activities

Agriculture, forestry and fishing	<input type="checkbox"/>	Travel, tourism, accommodation and catering	<input type="checkbox"/>
Wholesale, retail and motor trade	<input type="checkbox"/>	Mining and quarrying	<input type="checkbox"/>
Transport, storage, courier and freight	<input type="checkbox"/>	Electricity, water and gas	<input type="checkbox"/>
Estate, living and family trusts	<input type="checkbox"/>	Information technology and telecoms	<input type="checkbox"/>
Community and social activities	<input type="checkbox"/>	Healthcare, medical and education	<input type="checkbox"/>
Government services, arms and state-owned	<input type="checkbox"/>	Broadcast and entertainment	<input type="checkbox"/>
Savings, stokvels and investments	<input type="checkbox"/>	Financial, real estate and property services	<input type="checkbox"/>
Business and professional services	<input type="checkbox"/>	Construction and civil engineering	<input type="checkbox"/>

Client Information

Registered Name

Trading Name

Registration Number

Country of Inception

Politically Exposed Persons

Indicate if any authorised representative/s, ultimate beneficial owner/s or any shareholder/s of the legal entity is a foreign prominent public official or a domestic prominent influential person. Yes No

If yes, please specify:

Tax Information

If the legal entity or any controlling persons are residents in the US you must also complete and return and Internal Revenue Services (“IRS”) W-9 form, available on the www.irs.gov, and include any additional tax residencies in the table below:

Countries of Tax Residency	Tax Number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Organisation Tax Residency Classification

If your organisation is a Financial Institution, please specify:

- South African Financial Institution or a Partner Jurisdiction Financial Institution
- Participating Foreign Financial Institution (in non-intergovernmental agreement jurisdiction)
- Non-Participating Foreign Financial Institution (non-intergovernmental agreement jurisdiction)
- Financial Institution resident in the USA or in a US Territory
- Exempt beneficial Owner (this includes a South African registered retirement scheme, etc)
- Deemed Compliant Financial Institution (this includes Non-Profit organisations)

If your organisation is not a Financial Institution, please specify:

- Active Non-Financial Entity
- Passive Non-Financial Entity

If your organisation is a US tax resident and not a Specified US person, please specify:

- A regularly traded corporation on a recognised stock exchange
- Any corporation that is a member of the same expanded affiliated group as a regularly traded corporation on a recognised stock exchange
- A government entity
- Any bank as defined in section 581 of the US Internal Revenue Code
- A retirement plan under section 7701(a)(37), or exempt organisation under sec 501(a) of the IRS Code
- or any other exclusion.

Organisation’s Classification under Common Reporting Standards (CRS)

Select an option with reference to primary country of residence:

- Financial Institution under CRS (includes pension fund schemes, government entity etc)
- An investment entity located in a Nonparticipating Jurisdiction and managed by another Financial Institution

Active Non-Financial Entity which frequently trades on an established securities market or associated with an established securities market or a corporation which is a related entity of such a corporation.

Active Non-Financial Entity – a Government Entity, a Central Bank or an International Organisation.

Active Non-Financial Entity, other than those listed above

Passive Non-Financial Entity.

Dividend Withholding Tax

If your organisation is exempt from DWT, please select the appropriate box to declare the reason for the exemption:

A company or close corporation registered in South Africa for tax purposes

A non-profit organisation (approved by SARS in terms of section 30(3) of the Income Tax Act)

A pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund registered in terms of the Pension Fund Act 1956.

A person contemplated in section 10(1)(t) of the Income Tax Act

A trust contemplated in section 37A of the Income Tax Act (e.g. mining rehabilitation trust)

Fidelity and Indemnity funds contemplated in section 10(1)(d)(iii) of the Income Tax Act

A small business funding as contemplated in section 10(1)(cQ) of the Income Tax Act

Entity is not exempt from DWT

Declaration

I/We confirm that all information provided herein is true and correct and that I have read and understood the contents of this form.

I agree to notify the administrator immediately if information on this self-certification form changes.

I am aware that the administrator is obliged to provide the South African Revenue Services (SARS) with certain information when you transact with them. SARS will in turn pass the information to the Internal Revenue Services (IRS) of the USA.

Date (ddmmyyyy)

Signature

Print Initials and Surname