



OFFSHORE UNIT TRUSTS

NEW BUSINESS APPLICATION FORM

(For lumpsum investments in USD only)

VERSION NUMBER 2.0

INVESTMENT PROCESS

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| STEP 1 Complete the form and agree to the terms and conditions | To ensure there is no delay in processing your investment, please complete the form accurately and ensure you understand the terms and conditions you are entering into. Depending on the investment type and/or product you may be required to complete and provide additional forms. |
| STEP 2 Send documents to Itransact via Email Additional forms and FICA requirements for juristic investors (i.e. companies and trusts) are available on our website in the 'Forms & Downloads' section. www.itransact.co.za | Email your documents to: newbus@itransact.co.za If you experience difficulties transmitting your documents to us, please call us on 0861 468 383 during business hours and we will gladly assist you. Document Checklist <input type="checkbox"/> Completed application form <input type="checkbox"/> Copy of your bar coded South African ID, valid passport (if a foreign national) or birth certificate (if a minor) <input type="checkbox"/> Additional forms that may be requested from you in this application form |
| STEP 3 Fulfilment | <ul style="list-style-type: none"> • We will acknowledge receipt of your documents and contact you if there are any outstanding requirements. • Transactions will only be acted upon after confirmed receipt by the Administrator of a completed and signed investor mandate, investor FICA verification, relevant supporting documentation, and investment funds which have been cleared and made available for investment in the Administrators bank account. • You will receive confirmation once your instruction has been processed. • You will receive an email welcoming you to Itransact. • You will automatically be provided with a secure Itransact online servicing account. New investors are requested to activate their online account by registering on our website within 3 business days. Subsequent products will automatically appear in your online account. |
| CUT OFF TIMES | <ul style="list-style-type: none"> • Instructions received before 11h00 on a business day will start processing on that day. • Instructions received after 11h00 on a business day will start processing on the next business day. • Instructions received on a weekend or public holiday will start processing on the next business day. |

SECTION 1: INVESTOR DETAILS

Investor Type Individual Company CC Trust Partnership

Other

Title Mr Ms Mrs Dr Prof The Hon

First Name or Trading Name (If a legal entity)

Surname/Registered Name

Company/Trust Registration Number

Identity/Passport Number

Resident of South Africa Yes No

Date of Birth

Gender Male Female

Income Tax Number (if applicable)

Withholdings Tax Status Exempt Not Exempt (If you selected Exempt, please complete the administrators Dividend Withholding Tax Form.)

Occupation

VAT Registration Number (if applicable)

Residential/Trading Address

Code

Tick if postal address is same as residential

Postal Address

Code

Cell Phone Number

Other Contact Number

Email Address

SECTION 2: THIRD PARTY APPLICANT/AUTHORISED REPRESENTATIVE A LEGAL ENTITY

(If you are opening an account for a person other than yourself, the name of this person, in whose name the investment is made must be filled in under Section 1. The details of the authorised representative who is responsible for the investment must be filled in under Section 2.)

(Complete this section if you are the authorised representative and person responsible for opening this account on behalf of the Investor stated in Section 1 above)

First Name

Surname

Identity/Passport Number

Gender Male Female

Relationship to the investor

Cell Phone Number Other

Contact Number Email

Address

SECTION 3: PARENT/LEGAL GUARDIAN DETAILS

First Name

Surname

Identity/Passport Number

Gender Male Female

Relationship to the Minor

Cell Phone Number

Other Contact Number

Email Address

SECTION 4: FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)

(The Foreign Account Tax Compliance Act (FATCA) is a United States federal law requiring United States persons (including those living outside the U.S.) to have yearly reported themselves and their non-U.S financial accounts to the Financial Crimes Enforcement Network (FINCEN), and requires all non-US (Foreign) Financial Institutions (FFI's) to search their records for suspected US persons for reporting their assets and identities to the US Treasury)

For Natural Persons

Are you a tax resident of another country other than South Africa? Yes No

Is your Country of birth or Nationality not South Africa? Yes No

For Juristic Persons

Is the Juristic person or its beneficiaries or shareholders registered for tax in another country other than South Africa? Yes No

Is your Country of birth or Nationality of any other beneficiaries or shareholders not South Africa? Yes No

If you have answered 'Yes' to any of the previous questions, please complete the Foreign Account Tax Compliance Act - Self-certification Form available from the Administrator and/or its website or from your financial advisor.

SECTION 5: METHOD OF COMMUNICATION & PRIVACY OF PERSONAL INFORMATION

(Please note that email will be used as the default method of communication by the Administrator. All communication with the Administrator by the Investor must also be via electronic means, which includes email, fax and telephonic communications using the contact details provided in the last section of this form)

Protection of Personal Information

- We will not collect, collate, process or disclose your personal information without your express written consent, unless legally required to do so or it is for the maintenance of your investment.
- We will only electronically request, collect, collate process or store your personal information if it is lawful for us to do so.
- Should your personal information be required, we will disclose to you in writing the specific purpose for which it is needed. Furthermore, we will not disclose your personal information for any purpose other than the one disclosed to you, nor will it disclose any of your personal information to a third party without your express written consent, unless it is required of us by law or it is for the maintenance of the investment.
- We will keep a record of your personal information and the purpose for which it was used.
- We will take all reasonable steps to ensure that your personal information remains confidential and secure by storing it on our secure database.
- We keep all financial and investment information that it records strictly confidential and will not disclose it to any third party that has no right or title to the information.
- We will endeavour to take all reasonable and necessary precautions to secure access to your transactions.

Do you agree to receive occasional marketing information relating to your investment from the administrator?

Yes No

SECTION 6: INVESTMENT DETAILS

Source of Funds Salary Policy Donation Saving Investment

Inheritance Other (Please Specify below)

Only lump-sum investments in USD are allowed
Minimum investment amount of USD 1,500 per fund

| FUND NAME | LUMP SUM AMOUNT |
|---------------------------|--|
| Global Equity Index | USD: <input type="text"/> . <input type="text"/> |
| Global Bond Index | USD: <input type="text"/> . <input type="text"/> |
| Global Money Market Index | USD: <input type="text"/> . <input type="text"/> |
| US Equity Index | USD: <input type="text"/> . <input type="text"/> |

Method of Payment (Itransact only accepts electronic deposits)

Important Information

South African Rand Investors

1. The single discretionary allowance (SDA) is an allowance amount stipulated by the South African Reserve Bank (SARB) from time to time, per calendar year (1 January to 31 December), which a South African resident 18 years or older may use for any legal purpose offshore including investing. It is the investors responsibility to ensure that the allowable SDA limit per calendar year across all foreign investments are not breached.
2. Investors are responsible for converting Rands into the applicable currency of the unit trust and ensuring that the converted amount is deposited into the product bank account, the details of which will be provided to you after Itransact accepts your application form and concludes certain security checks such as FICA verification.

Foreign Currency Investors

Investors are responsible for converting their foreign currency into the applicable currency of the unit trust and ensuring that the converted amount is deposited into the product bank account, the details of which will be provided to you after Itransact accepts your application form and concludes certain security checks such as FICA verification.

Additional costs

Please note that the entity that assists you convert and/or deposit your investment amount into the products bank account may charge you additional fees and commissions. You must ensure that no less than the minimum investment amount reaches Itransact.

Method of Payment

Itransact only accepts electronic bank transfers and deposits.

Minimum Lump Sum Investment Amount

USD 1,500 per fund

SECTION 7: INVESTOR BANK DETAILS

Name of Account Holder

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Name of Bank

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Branch (if South African)

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SWIFT code (if offshore)

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IBAN /Account number (if offshore)

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Type of account Current/Cheque Savings

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Currency of account

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| <input type="checkbox"/> | ZAR | <input type="checkbox"/> | USD | <input type="checkbox"/> | GBP | <input type="checkbox"/> | EUR | <input type="checkbox"/> | JPY | <input type="checkbox"/> | AUD |
|--------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|-----|--------------------------|-----|

SECTION 8: INVESTOR DECLARATION

General

The Investor, or where applicable, the Investor's authorised signatory, by appending their signature hereto, further states, declares, warrants, acknowledges, understands, confirms and consents that;

(Select one option only)

- A Financial Services Provider has been appointed to assist with this investment on a discretionary basis (in which case proof of authority must be provided by the investor to the Administrator)
- No Financial Services Provider has been appointed and that all references made to such shall not be applicable for as long as no such appointment is made.

And that;

1. The latest terms and conditions and the relevant investment media including the features of the Plan including, but not limited to, its underlying investments, fees, costs, disclosures and risks associated to investing in the Plan have been read and fully understood, and that the aforesaid information has been obtained by the Investor itself, and that it is the Investors responsibility to act upon this information, whether a Financial Service Provider has been appointed or not.
2. All statements provided by the Investor in this form are true and correct in every respect and that such statements, together with the Administrators investment confirmation, shall form the basis of the contract, which is to be entered into with the Administrator in terms of the Financial Advisory and Intermediaries Services Act (FAIS).
3. Where a Financial Services Provider has been appointed, that neither the Financial Services Provider nor any representative of the Financial Services Provider is an employee or agent of the Administrator and that the appointed Financial Services Provider acts as the Investor's agent and that neither the Administrator nor any other party appointed from time to time to administer the Plan can be held liable for any act or omission of the Financial Services Provider and/or any representative of the Financial Services Provider.
4. If the appointed Financial Services Provider and/or its representative's services are terminated, that it is the Investors responsibility to immediately inform the Administrator in writing of such termination where after the Administrator will cease payment of all fees, other than accrued fees, to the Financial Services Provider.
5. All instructions to the Administrator must be signed by the Investor or the Investor's duly authorised signatory (or guardian in the case of a minor) and may not be signed by the Financial Services Provider on behalf of the Investor, except where the Financial Services Provider is appointed on a discretionary basis and proof of authority has been provided by the Investor the Administrator.
6. It is the Investor's responsibility to ensure the receipt of any instruction and/or document by the Administrator.
7. There are certain requirements in terms of the Financial Intelligence Centre Act (FICA) which need to be complied with before this investment may be processed and that these requirements have been understood by the Investor and where applicable explained to the Investor by the Financial Services Provider.
8. The Investor is not a United States Person or a resident/national in any of the UN Sanctioned countries jurisdictions or is an entity or a member of an entity, that is owned or controlled by any person or entity that is resident, located, incorporated or registered in the United States or any UN Sanctioned country nor a US person as defined in the Foreign Account Tax Compliance Act of the USA. In terms of the Financial Intelligence Centre Act, 2001, the Insurer or the FSP/Representative will require a certified copy of the Investor's identity document/passport, appropriate proof of the Investor's current residential address, together with certain personal and financial information. The information required will vary depending on the nature of the investor. The investor further acknowledges that, the Administrator will not be permitted to remit the proceeds of any sale or distribution until acceptable identification is provided. The investor also acknowledges that the money which he is investing is not derived from the "proceeds of unlawful activities", as defined in the Prevention of Organised Crime Act (POCA)
9. To receiving reports from the Administrator on a regular basis.
10. Online services are provided to Investors on a continual basis where Investors apply for such services from the Administrator.
11. Where the value of the investments held under the Plan at any time is less than R1000, that the Administrator reserves the right to cancel the Plan without informing the Investor and/or where applicable, the appointed Financial Services Provider, and refund the amount to the Investors bank account recorded on this form.
12. Where the Investor has appointed a Financial Services Provider, the Investor agrees that the Financial Service Provider is able to access the Investors information continuously via electronic means made available by the Administrator.

