itransact Investment Platform

ABSA FUND LINKED NOTE

ADDITIONAL INVESTMENT FORM

(To be completed by investors who already have a Fund Linked Note accounts and who wish to make additional lump sum investments)

VERSION NUMBER 1.0

IMPORTANT INFORMATION YOU NEED TO KNOW BEFORE YOU INVEST

Product Information
Please ensure that you choose the right product before you invest. Refer to our product fact sheets, brochures, fee disclosures and research made available on our website.

INVESTMENT PROCESS

STEP 1 Complete the form and agree to the terms and conditions	To ensure there is no delay in processing your investment, please complete the form accurately and ensure you understand the terms and conditions you are entering into. Depending on the investment type and/or product you may be required to complete and provide additional forms.
STEP 2 Send documents to Itransact via Email Additional forms and FICA requirements for juristic investors (i.e companies and trusts) are available on our website in the 'Forms & Downloads' section. **www.itransact.co.za**	Email your documents to: **instructions@itransact.co.za** If you experience difficulties transmitting your documents to us, please call us on 0861 468 383 during business hours and we will gladly assist you. **Document Checklist** ☐ Completed application form ☐ Proof of your bank details (if different from what is on record) ☐ Additional forms that may be requested from you in this application form
STEP 3 Fulfilment	• We will acknowledge receipt of your documents and contact you if there are any outstanding requirements. • Transactions will only be acted upon after confirmed receipt by the Administrator of a completed and signed investor mandate, investor FICA verification, relevant supporting documentation, and investment funds which have been cleared and made available for investment in the Administrators bank account. • You will receive confirmation once your instruction has been processed. • You will automatically be provided with a secure Itransact online servicing account. New investors are requested to activate their online account by registering on our website within 3 business days. Subsequent products will automatically appear in your online account.
CUT OFF TIMES	• Instructions received **before** 11h00 on a business day will start processing on that day. • Instructions received **after** 11h00 on a business day will start processing on the next business day. • Instructions received **on** a weekend or public holiday will start processing on the next business day.

SECTION 1: INVESTOR DETAILS

Investor Number

First Name or Trading Name (If a legal entity)

Surname

If any of your contact details have changed since your initial investment, please provide updated details in the spaces below.

Cell Phone Number

Other Contact Number

Email Address

SECTION 2: GENERAL INVESTMENT DETAILS

Source of Funds: Salary ☐ Policy ☐ Donation ☐ Saving ☐ Investment ☐

Inheritance ☐ Other ☐ (Please Specify)

Minimum Lump Sum Investment of R10 000 (per Product)

SECTION 3: INVESTMENT DETAILS

Note to Financial Services Provider/Advisor: A valid FAIS license with sub category 1.24 Structured Deposits or 1.25 Securities and Instruments.

I/We hereby request the Administrator to purchase securities for my/our account as follows;

Product Name	Stock Code	Investment Amount	Cash Deposit	Transfer
		R .	☐	☐
		R .	☐	☐
		R .	☐	☐

- All distributions will be reinvested.

Method of Payment

☐ **Lump-sum Investment (Please take note of the restrictions regarding the Itransact bank account details below)**

Distribution Instructions: All distribution will be reinvested.

ITRANSACT BANK ACCOUNT DETAILS

For security reasons and to comply with the Financial Intelligence Crime Act (FICA) the Administrator must perform certain security checks with you as the investor before it may provide you with the bank details and investor reference to be used by you when making a lump sum investment.

Once you have received the bank account details and reference number from the Administrator, and have made a payment, you are required to provide the Administrator with the proof of payment (copy of deposit slip or online payment confirmation) by submitting it via email to the email address stated on the first page of this application form. No transaction will be finalised without receiving theproof of deposit.

SECTION 4: BANK DETAILS

If bank details are different from those which the Administrator has on record for the investor, please include proof of bank account details with this form in the form of a current bank statement, not older than 3 months, which reflects the bank name, the account holder's full name and the bank account number. Please note that no credit card or Internet statements will be accepted.

Investor Bank Details

(This bank account must be a South African bank account in the name of the investor or the investor's legal guardian in the case of a minor.)

Name of Account Holder

Name of Bank

Account Number

Branch Name

Branch Code

Account Type

SECTION 5: INVESTOR DECLARATION

1. The latest terms and conditions associated to this product are applicable. The Investor is responsible for ensuring that he/she/it has read and understood them. A copy of these terms and conditions may be requested from the Administrator.
2. The Investor confirms that all statements made and information provided on this form are correct.

Fees and Charges (Excluding VAT)

Please take special care in understanding how the financial advice and administrative fees are applied and subsequently deducted from this investment

1. Financial advice fees

An ongoing annual financial advice fee of **0.50%** per annum, excluding Vat, is integrated into the structure of the underlying investment product. This annual fee is accrued daily and paid to the financial advisor each month.

2. Administration fee

An ongoing annual administration fee, excluding Vat, is integrated into the structure of the underlying investment product. This annual fee is accrued daily and paid to the Administrator each month according to the table below:

Market Value of Investments	Rate per Annum
R0 to R 25 000 000	0.200%
R 25 000 000 to R 100 000 000	0.175%
R 100 000 000 to R 200 000 000	0.150%
R 200 000 000 to R 400 000 000	0.125%
R 400 000 000 and above	0.100%

Date (ddmmyyyy)

Signature of Investor or duly authorised person/s for minor investors

Date (ddmmyyyy)

Signature of third party applicant or authorised representative of a legal body (if applicable)

Print Initials and Surname

SECTION 6: FINANCIAL SERVICES PROVIDER DECLARATION

1. The latest terms and conditions associated to this product are applicable. The Financial Service Provider is responsible for ensuring that he/she/it has read and understood them and explained them to the investor in full. A copy of these terms and conditions may be requested from the Administrator.
2. The Financial Service Provider confirms that all statements made and information provided on this form are correct

Date (ddmmyyyy)

Signature of Authorised Financial Service Provider/Representative

Print Initials and Surname

SECTION 7: FINANCIAL SERVICES PROVIDER AND FINANCIAL ADVISOR DETAILS

Financial Service Provider Details

Name of Financial Services Provider (The Company)

Telephone

Facsimile

Email

Tick the box if the details below are the same as the FSP details above ☐

Name of Financial Advisor/Representative

Telephone

Cell	
Facsimile	
Email	

SECTION 8: IMPORTANT CONTACT DETAILS

Financial Advisor Support Centre
Telephone 086 143 2383 | Fax 086 743 6959 | Email info@itransact.co.za

Investor Support Centre
Telephone 086 146 8383 | Fax 086 743 6959 | Email investor@itransact.co.za

www.itransact.co.za